| SEC Form 4 |  |
|------------|--|
|------------|--|

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Addr<br>CRILLY SE | 1 0              |  | uer Name <b>and</b> Tick                                    |                                | ading     | Symbol  |               | ationship of Reportin<br>< all applicable)<br>Director<br>Officer (give title | 10% C<br>Other  | n(s) to Issuer<br>10% Owner<br>Other (specify                     |   |  |
|-------------------------------|------------------|--|---|--------------------------------|-----------|---|---------------|---|---|---|---|--|
| (Last)<br>25 FRONTAG          | (First)<br>E RD. | (Middle)                                   |   | te of Earliest Trans<br>6/2023 | action (  | Month   | /Day/Year)    | A   | below)<br>Corp. VP-E  | below)<br>ng., Pwr Syst.  | ,   |  |
| (Street)<br>ANDOVER           | МА               | 01810                                      | 4. If <i>i</i>  | Amendment, Date o              | f Origina | al File   | d (Month/Day/ | 6. Indiv<br>Line)<br>X  | ,   |   |   |  |
| (City)                        | (State)          | (Zip)                                      |   |                                |           |   |               |   | Person  |   | orung   |  |
|                               |                  | Table I - No                               | on-Derivative   | Securities Ac                  | quirec    | l, Dis  | sposed of,    | or Be   | neficially  | Owned   |   |  |
| Date                          |                  | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (Ins                      |           | 3. 4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4)   Code (Instr. 3) 5. |               |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|                               |                  |  |   | Code                           | v         | Amount  | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)  |   |   |  |

| Table        | II - Derivative Secu<br>(e.g., puts, calls |   | <br>,  |   |           | wned                  |   |  |
|--------------|--|---|--------|---|-----------|-----------------------|---|--|
| Common Stock | 03/06/2023                                 | S | 116    | D | \$43.81   | 23,500                | D |  |
| Common Stock | 03/06/2023                                 | М | 200    | Α | \$6.29    | 23,616                | D |  |
| Common Stock | 03/06/2023                                 | S | 23,054 | D | \$43.0618 | 23,416                | D |  |
| Common Stock | 03/06/2023                                 | М | 42,898 | A | \$7.05    | 46,470 <sup>(1)</sup> | D |  |

|   |   |  |   |                              |   |   |  |  |                    |   | ,                                      |   |  |  |  |
|---|---|--|---|------------------------------|---|---|--|--|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Seci<br>Acq<br>(A) o<br>Disp<br>of (E | umber<br>vative<br>urities<br>uired<br>or<br>oosed<br>D) (Instr.<br>and 5) | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)   | (D)  | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Non<br>Qualified<br>Stock<br>Option                 | \$7.05  | 03/06/2023                                 |   | М                            |   |   | 42,898   | (2)  | 04/29/2023         | Common<br>Stock   | 42,898                                 | \$0   | 0  | D  |  |
| Non<br>Qualified<br>Stock<br>Option                 | \$6.29  | 03/06/2023                                 |   | М                            |   |   | 200  | (2)  | 06/17/2023         | Common<br>Stock   | 200                                    | \$ <u>0</u>   | 0  | D  |  |

Explanation of Responses:

1. Includes 254 shares acquired under the Vicor Corporation 2017 Employee Stock Purchase Plan on February 28, 2023.

2. This stock option is exercisable in full.

## /s/Quentin A. Fendelet Attorney in fact for Sean Crilly

03/07/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.