SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Che	ck this box if no longer subject to
	tion 16. Form 4 or Form 5
oblig	gations may continue. See
Instr	ruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number 2225 0207

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	hours per response:	0.5
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I		3233-0207

1. Name and Addre GRIFFIN LI	ess of Reporting Pers <u>AM</u>	son*	2. Issuer Name and Ticker or Trading Symbol <u>VICOR CORP</u> [VICR]		tionship of Reporting Per all applicable) Director	son(s) to Issuer 10% Owner		
					Officer (circo title	Other (analify		
(Last) VICOR CORPO	(First) DRATION	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/07/2017		Officer (give title below)	Other (specify below)		
25 FRONTAGE RD.			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable				
			4. If Amendment, Date of Original Flied (Month/Day/real)	Line)	vidual of John Group Filling (Check Applicable			
(Street)				X	Form filed by One Rep	orting Person		
ANDOVER	MA	01810			Form filed by More that Person	n One Reporting		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities Disposed Of			Securities	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	03/07/2017		М		15,000	A	\$7.24	15,000	D		
Common Stock	03/07/2017		S		15,000	D	\$15.9558	0	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non Qualified Stock Option	\$7.24	03/07/2017		М			15,000	(1)	10/01/2019	Common Stock	15,000	\$0.00	30,941	D	

Explanation of Responses:

1. Granted under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period

Remarks:

/s/Richard J. Nagel Jr. Attorney 03/07/2017

** Signature of Reporting Person Date

in fact for Liam K. Griffin

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.