SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number

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1. Name and Address of Reporting Person [*] Tuozzolo Claudio			2. Issuer Name and Ticker or Trading Symbol VICOR CORP [vicr]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	<u>uuio</u>			X	Director	10% Owner			
(Last) 25 FRONTAGE	(First) (Middle) RONTAGE ROAD		3. Date of Earliest Transaction (Month/Day/Year) 05/07/2018	X	Officer (give title below) President, Picor Co	Other (specify below) orporation			
(Street) ANDOVER	MA	01810	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo				
(City)	(State)	(Zip)			Form filed by More thar Person	one Reporting			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	05/07/2018		М		5,000	A	\$5.35	5,310 ⁽¹⁾	D		
Common Stock	05/07/2018		S		5,000	D	\$36	310 ⁽¹⁾	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non Qualified Stock Option	\$5.35	05/07/2018		М			5,000	(2)	05/14/2023	Common Stock	5,000	\$0	51,749	D	

Explanation of Responses:

1. Includes 310 shares acquired under the Vicor Corporation 2017 Employee Stock Purchase Plan on February 28, 2018.

2. Granted on 5/14/2013 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.

/s/Kemble D. Morrison Attorney in Fact for Claudio 05/09/2018 Tuozzolo

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date