SEC Form 4

FORM	4
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP
Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

3235-0287									
Estimated average burden									
0.5									

					OI	Secu	ion 30(n) of the	invesime	ni Co	mpany Ac		940						
1. Name and Address of Reporting Person* GRIFFIN LIAM				2. Issuer Name and Ticker or Trading Symbol VICOR CORP VICR									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
GRIFFIN LIAW					-	(2	X Directo	or		10% Ov	wner
(Last) 25 FROM	(F NTAGE RD	,	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 06/17/2013								Officer below)	(give title		Other (: below)	specify		
					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)			04.04.0												,	iled by One	e Repo	rting Perso	n
ANDOV	ER M	IA	01810												Form filed by More than One Reporting Person			rting	
(City)	(S	itate)	(Zip)																
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3) Date (Month/D			Execution Date,		3. Transaction Code (Instr. 8) 4. Securities Acqui Disposed Of (D) (In 5)						es Forn ally (D) o Following (I) (Ir		Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership					
									Code	v	Amount	:	(A) or (D)	Price	e Reported Transaction(s) (Instr. 3 and 4)				(Instr. 4)
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date, T ty or Exercise (Month/Day/Year) if any C		ansaction Derivative E ode (Instr. Securities (Expiration Date An (Month/Day/Year) Se Un De			7. Title and Amount of Securities Underlying Derivative Sect (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)				
													Amount		(Instr. 4)	51(3)			

Date

Exercisable

(3)

(4)

(2)

(D)

4,274

3,197

(A)

4,274

3,197

Expiration Date

06/24/2013

06/23/2014

06/17/2023

06/17/2023

Title

Commor

Stock

Common

Stock

Common

Stock

Common Stock

Option Explanation of Responses:

\$11.7

\$15.64

\$6.29

\$6.29

Non Qualified

Stock Option

Non Qualified Stock

Option Non Qualified

Stock

Option Non Qualified Stock

1. On 6/17/2013, the issuer canceled, pursuant to the issuer's option exchange offer, options granted to the reporting person. In exchange the reporting person received replacement options, having an exercise price of \$6.29.

2. The replacement options vest over a five year period in equal installments.

06/17/2013

06/17/2013

06/17/2013

06/17/2013

3. Granted 6/24/2010 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a two year period.

4. Granted 6/23/2011 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a two year period.

Code v

D

D

A

A

/s/ Kemble D. Morrison Attorney in Fact for Liam K. Griffin

06/19/2013

Date

** Signature of Reporting Person

or Number

of Shares

4,274

3,197

4,274

3,197

(1)

(1)

(1)

26,421

23,224

27,498

30,695

D

D

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.