FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| l | OMB APPROVAL | | | | | | | | | |
|---|------------------------|-----------|--|--|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | | | |
| | Estimated average burd | en | | | | | | | | |
| | hours per response: | 0.5 | | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Gusinov Alex</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol VICOR CORP [vicr] | | | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---|--|--|----------|---------------------------------------|---|---|------------------|------|---------------------------------------|------|-----------|---------------------------|----------------------|---|---|---|--|---|---------------------------|--|
| | | | | | | | | | | | | | | | | Directo | | | 10% Ow | | |
| , | | | | | - | 2 Data of Fadicat Transaction (Marth/Day/N/an) | | | | | | | | | | Officer below) | Officer (give title Other (speci below) below) | | | pecify | |
| (Last) (First) (Middle) | | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/07/2019 | | | | | | | | | , | orp. Vice President Eng. | | | | |
| 25 FRONTAGE RD. | | | | | | | | | | | | | | | | Corp. vice i resident Eng. | | | | | |
| | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable | | | | | |
| (Street) | | | | | | | | | | | | | | | Line) | | | | | | |
| ANDOVER MA 01810 | | | | | | | | | | | | | | | | X Form filed by One Reporting Person | | | | | |
| | | | | | | | | | | | | | | | | | Form filed by More than One Reporting Person | | | | |
| (City) (State) (Zip) | | | | | | | | | | | | | | | | | | | | | |
| | | Tab | le I - No | n-Deriv | vative | e Se | curit | ies Ad | cqui | ired, C | Disp | osed c | of, or Be | enefi | cially | / Owned | | | | | |
| 1. Title of | Security (Inst | tr. 3) | | 2. Trans | saction | | | | | 3. 4. Securitie | | | | ties Acquired (A) or | | | nt of | | | 7. Nature | |
| , , , , , , , , , , , , , , , , , , , | | | | | Date (Month/Day/Year) | | Execution Date, if any | | | , Transaction Dispose Code (Instr. 5) | | | d Of (D) (Instr. 3, 4 and | | | Beneficially | | Form: Direct (D) or Indirect | | of Indirect Beneficial | |
| | | | | | | , (M | | (Month/Day/Year) | | r) 8) | | | | | | Owned I | ollowing d | (I) (Instr. 4) | | Ownership (Instr. 4) | |
| | | | | | | | | | | Code \ | v | Amount | (A) o (D) | r Pri | ice | Transac (Instr. 3 | tion(s) | | ľ | | |
| Common Stock 11/07/2 | | | | | | | 2019 | | | М | | 1,273 | 73 A \$ | | 8.062 | 2 12,360 | | | D | | |
| | | | | | | | | | | | | | - - · | | | | | | | | |
| Common | Stock | | | 11/0 | 7/2019 | 9 | | | | S | | 700 | D | \$ | 37.5 | 11, | 11,660 D | | | | |
| | | Т | able II - | Deriva | ative \$ | Sec | uritie | s Acc | uire | ed, Di | spc | sed of, | , or Ben | efici | ally (| Owned | | | | | |
| | | | | (e.g., p | outs, | call | s, wa | rrant | s, o | ptions | s, c | onverti | ble seci | uritie | es) | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deem Execution if any (Month/Da | Date, | 4. Transactio Code (Insti 8) | | | | Exp | Date Exer piration D onth/Day/ | Date | Amount of | | | 3. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | у | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | Beneficial Ownership t (Instr. 4) | | |
| | | | | | | | | | | | | | | Amo or | unt | | | | | | |
| | | | | | | | | | Date | e | E | xpiration | | Num | ber | | | | | | |
| | | | | | Code | ٧ | (A) | (D) | | rcisable | | ate | Title | Shar | es | | | | | | |
| Non Qualified Stock | \$8.062 | 11/07/2019 | | | M | | | 1,273 | | (1) | 04 | 4/14/2024 | Common Stock | 1,2 | 73 | \$0 | 12,966 | | D | | |

Explanation of Responses:

1. This stock option is exercisable in full.

/s/Richard J. Nagel Jr. Attorney in fact for Alex Gusinov 11/08/2019

** Signature of Reporting Person Da

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.