FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Wası	hington	, D.C.	20549	

STATEMENT OF CHANGES IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Gusinov Alex  (Last) (First) (Middle)  25 FRONTAGE RD.					3. E 05/	2. Issuer Name and Ticker or Trading Symbol VICOR CORP [ vicr ]  3. Date of Earliest Transaction (Month/Day/Year) 05/15/2020  5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner X Officer (give title Other (specify below) Director 10% Owner Officer (give title Delow) Corp. Vice President Eng.								vner specify						
(Street) ANDOV (City)		tate)	(Zip)	on-Deri	_								l	Line)	Form filed by One Reporting Person Form filed by More than One Reporting Person					
1. Title of Security (Instr. 3)			2. Transaction 2 Date E (Month/Day/Year) if		2A. Deemed Execution Date,		3. 4. Securitie Disposed Code (Instr.		es Acquired (A) or Of (D) (Instr. 3, 4 and 5			5. Amount of Securities Beneficially Owned Following		Form (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership				
									Code	v	Amount	(A) or (D)	Price		Reporte Transac (Instr. 3	tion(s)			Instr. 4)	
Common Stock  Common Stock <sup>(2)</sup>				5/2020				M S		4,322 2,444	A D	\$12.5 \$54			393 <sup>(1)</sup> 949 <sup>(1)</sup>		D D			
1. Title of 2. Derivative Conversion Date		3. Transaction	3A. Deer Execution	. Deemed 4. ecution Date, Tra		calls, wa action (Instr. 5. N of Deri Sec Acq (A) Disp of (I		umber ivative urities uired or oosed D) tr. 3, 4			posed of converti	, or Beneficible securities  7. Title and Amount of Securities Underlying Derivative Secur (Instr. 3 and 4)		Ily O  8. De Se (In		9. Number derivative Securities		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownershi (Instr. 4)	
Non					Code	v	(A)	(D)	Date Exercisa	ble	Expiration Date	Title	or Number of Shares	.						
Qualified Stock Option	\$12.5747	05/15/2020			M			4,322	(3)		05/15/2022	Common Stock	4,32	2	\$0	0		D		

## **Explanation of Responses:**

- 1. Includes 856 shares acquired under the Vicor Corporation 2017 Employee Stock Purchase Plan on 2/28/2020.
- 2. This transaction involved the exercise of non-qualified stock options, the terms of which provided for the purchase of shares of common stock possessing multi-year restrictions on their sale or transfer. As such, the exercise followed the sell-to-cover process, whereby a portion of the shares acquired through the exercise were sold in the open market, with the proceeds used to fund the aggregate exercise price of the options and the income and employment taxes associated with the exercise. The balance of the shares acquired through exercise that were delivered to the reporting person are subject to holding periods through the fifth anniversary of the date of this transaction.
- 3. This stock option is exercisable in full.

/s/Richard J. Nagel Jr. Attorney 05/19/2020 in fact for Alex Gusinov

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.