

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * <u>Tuozzolo Claudio</u> (Last) (First) (Middle) 25 FRONTAGE ROAD (Street) ANDOVER MA 01810 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>VICOR CORP. [vicr]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Corp. Vice President</u>
	3. Date of Earliest Transaction (Month/Day/Year) 04/28/2020	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	04/28/2020		M		7,000	A	\$ 11.2534	28,376	D	
Common Stock ⁽¹⁾	04/28/2020		S		3,892	D	\$ 48.655	24,484	D	
Common Stock	04/28/2020		S ⁽²⁾		264	D	\$ 48.738	24,220	D	
Common Stock	04/29/2020		M		3,500	A	\$ 11.2534	27,720	D	
Common Stock ⁽¹⁾	04/29/2020		S		1,908	D	\$ 53.9889	25,812	D	
Common Stock	04/29/2020		M		3,500	A	\$ 5.35	29,312	D	
Common Stock	04/29/2020		S		3,500	D	\$ 53.3225	25,812	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Non Qualified Stock Option	\$ 11.2534	04/28/2020		M			7,000	(3)	11/01/2020	Common Stock	7,000	\$ 0	12,604	D	
Non Qualified Stock Option	\$ 11.2534	04/29/2020		M			3,500	(3)	11/01/2020	Common Stock	3,500	\$ 0	9,104	D	
Non Qualified Stock Option	\$ 5.35	04/29/2020		M			3,500	(3)	05/14/2023	Common Stock	3,500	\$ 0	3,500	D	

Explanation of Responses:

- This transaction involved non-qualified stock options, the terms of which provided for the purchase of shares of common stock possessing certain liquidity restrictions. As such, the exercise of the options followed the sell to cover process, whereby a certain number of the shares acquired through exercise were sold in the open market, with the proceeds used to fund the exercise price and associated income and employment taxes. The balance of the shares acquired through exercise are subject to multi-year restrictions on transfer or sale.
- The 264 shares being sold were acquired under the Vicor 2017 Employee Stock Purchase Plan on 8/31/18.
- This stock option is exercisable in full.

/s/Richard J. Nagel Jr. Attorney 04/30/2020
in fact Claudio Tuozzolo

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

**** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).**

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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