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Non Qualified Stock Option

Non Qualified Stock Option

Non Qualified Stock Option

Non Qualified Stock Option \$<mark>8.06</mark>

\$12.19

\$8.06

\$<mark>6.29</mark>

05/30/2018

05/30/2018

05/30/2018

06/04/2018

A

A

A

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31,327

6,356

1,220

2,558

(5)

(6)

(7)

(8)

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

	tions may contin	nue. See		F							ies Exchang		34		hours	per resp	ponse:	0.5			
1. Name and Address of Reporting Person*							or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol VICOR CORP [VICR]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Tuozzolo Claudio															X Director 10% Owr						
(Last) (First) (Middle) VICOR CORPORATION						Date 5/30/2		t Transa	ction (M	onth/I	Day/Year)		Corp. Vice President				pecify				
25 FRONTAGE RD.															6. Individual or Joint/Crown Filing (Chaol: Appliashia						
(Street) ANDOVER MA 01810					_ 4.	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting							
(City)	(S	itate)	(Zip)											Person	-						
		Та	ble I - No	n-Dei	rivativ	ve S	ecuritie	es Acq	uired	, Dis	posed of	f, or Ben	eficiall	y Owned							
1. Title of Security (Instr. 3) 2. Transa Date (Month/D						Execution D			Date, Transaction Code (Instr					5. Amour 5) Securitie Beneficia Owned F	s ally	Form:	Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership			
										v	Amount (A) or (D)		Price	Reported Transacti (Instr. 3 a	ion(s)			(Instr. 4)			
Common Stock 0.					30/201	/2018		A		9,480 ⁽¹⁾) A	(1)	9,7	9,790		D					
Common Stock 06/0					04/201	/2018		М		2,558	Α	\$6.29) 12,	12,348		D					
Common Stock 06/04				04/201	/2018		S		2,558	D	\$45.8	5 9,7	790		D						
Common Stock 06/11					11/201	2018		М		6,356	A	\$19.8	6 16,	146		D					
Common Stock 06/11/				11/201	2018		S		4,564	D	\$48.25	55 11,	582		D						
			Table II -								osed of, convertib			Owned							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	d Date,	e, 4. Transactio Code (Inst		5. Number of Derivative		6. Date Exerci Expiration Dat (Month/Day/Ye		isable and 7. Title and Am of Securities		d Amount es J Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	e s dly g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)			
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)						
Non Qualified Stock Option	\$19.86	05/30/2018			Α		6,356		(2)		06/12/2018	Common Stock	6,356	(3)	6,350	6	D				
Non Qualified Stock Option	\$11.25	05/30/2018			A		67,604		(2)		11/01/2020	Common Stock	67,604	(3)	67,60)4	D				
Non Qualified Stock Option	\$12.57	05/30/2018			A		12,878		(2)		06/18/2022	Common Stock	12,878	(3)	12,87	8	D				
Non Qualified Stock Option	\$17.3	05/30/2018			A		7,628		(4)		09/13/2023	Common Stock	7,628	(3)	7,628	в	D				

Common Stock

Common

Stock

Common Stock

Common Stock 31,327

6,356

1,220

2,558

(3)

(3)

(3)

\$0.00

31,327

6,356

1,220

13,137

D

D

D

D

04/14/2024

07/21/2024

09/10/2024

06/17/2023

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	xercise e of vative urity (Month/Day/Year) if any (Month/Day/Year) (Month/Day/Year) 8)		Transa Code (Transaction C Code (Instr. S 8) A		per of ve es d (A) osed nstr. 3,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
Non Qualified Stock Option	\$19.86	06/11/2018		М			6,356	(2)	06/12/2018	Common Stock	6,356	\$0.00	0	D	
Non Qualified Stock Option	\$ 47.15	06/15/2018		A		1,061		(9)	06/15/2028	Common Stock	1,061	\$0.00	1,061	D	

Explanation of Responses:

1. Received in exchange for shares of Picor Corporation common stock in connection with the merger of Picor Corporation into Vicor Corporation (the "Merger").

2. This stock option is exercisable in full.

3. In the Merger, each outstanding stock option was amended to provide that it will be settled in shares of Vicor Corporation common stock, and to adjust the number of shares issuable pursuant to the Merger's exchange ratio.

4. This stock option becomes exercisable in five equal installments on 5/30/18, 9/13/18, 9/13/19, 9/13/20 and 9/13/21.

5. 25,061 stock options are exercisable at 5/30/18. The remaining 6,266 stock options become exercisable on 4/14/19.

6. This stock option becomes exercisable in five equal installments on 7/21/18, 7/21/19, 7/21/20, 7/21/21 and 7/21/22.

7. 732 stock options are exercisable at 5/30/18. The remaining 488 stock options vest in two equal installments on 9/10/18 and 9/10/19.

8. Granted on 6/17/2013 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.

9. Granted on 6/15/2018 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.

Remarks:

/s/Richard J. Nagel Jr. Attorney in fact for Claudio Tuozzolo 06/19/2018

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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