FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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obligations may continue. See Instruction 1(b) Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' VICOR <u>CORP</u> [ vicr ] (Check all applicable) **KELLEHER BARRY** Х Director 10% Owner Officer (give title Other (specify x below) below) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) President, Brick Business Unit 06/17/2013 25 FRONTAGE ROAD (Street) 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) 01810 ANDOVER MA 06/19/2013 Form filed by One Reporting Person Х Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership Form: Direct (D) or Indirect 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 5. Amount of 7. Nature of Transaction Execution Date, Securities Beneficially Owned Indirect Beneficial Date (Month/Day/Year) if any Code (Instr. Following Reported Ownership (Month/Day/Year) 8) (I) (Instr. 4) (Instr. 4) (A) or (D) v Price Transaction(s) Code Amount (Instr. 3 and 4) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 2 3. Transaction 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 10. 11. Nature Execution Date, Expiration Date (Month/Day/Year) Derivative Security Conversion Transaction Derivative Derivative derivative Ownership of Indirect Date (Month/Day/Year) of Securities Underlying Derivative Security if any (Month/Day/Year) or Exercise Code (Instr. Securities Security Securities Form: Beneficial Price of Derivative Security Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 3) 8) (Instr. 5) Beneficially Direct (D) Ownership or Indirect (I) (Instr. 4) (Instr. 3 and 4) Owned (Instr. 4) Following Reported Amount Transaction(s) (Instr. 4) Number Date Expiration Code v (A) (D) Exercisable Date Title of Shares Non Qualified \$11.8 06/17/2013 D 20,000 (1) 03/12/2020 20,000 140,695 D \$<mark>0</mark> Stock Stock Optior Nor Qualified Common (2) \$11.7 06/17/2013 D 4.274 06/24/2013 4 2 7 4 136.421 D \$<mark>0</mark> tocl Stock Option Non Qualified Commor \$15.64 06/17/2013 D 3.197 (3) 06/23/2014 3,197 \$<mark>0</mark> 133,224 D Stock Stock Option Non Qualified \$6.08 06/17/2013 D 8.224 (4) 06/21/2015 8,224 125,000 D \$<mark>0</mark> Stock Stock Option Non Oualified Common (5) 100,000 \$13.73 06/17/2013 D 100.000 08/27/2020 \$<mark>0</mark> 25.000 D tock Stock Option Non Qualified Common \$6.29 06/17/2013 20,000 (6) 06/17/2023 20,000 45,000 D A \$<mark>0</mark> Stock Stock Option Non Qualified Commor \$6.29 06/17/2013 4,274 (6) 06/17/2023 49,274 D A 4.274 \$<mark>0</mark> Stock Stock Option Non Oualified Common \$6.29 06/17/2013 A 3,197 (6) 06/17/2023 3,197 \$<mark>0</mark> 52,471 D Stock Stock Option Non Qualified Commor (6) \$6.29 06/17/2013 A 8.224 06/17/2023 8.224 \$<mark>0</mark> 60.695 D Stock Stock Option Non Oualified Common 20.000<sup>(8)</sup> \$6.29 06/17/2013 (7) 06/17/2023 20,000 \$<mark>0</mark> 80.695 D A Stock tock Option Non Qualified Commo \$7.34 06/17/2013 A 17,541<sup>(9)</sup> (7) 06/17/2023 17,541 \$<mark>0</mark> 98,236<sup>(9)</sup> D Stock Stock Option Non Qualified Commor \$8.38 06/17/2013 **0**<sup>(10)</sup> (10) (10) 0 98,236<sup>(10)</sup> D A \$<mark>0</mark> Stock Stock Option

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)				Expiration Date (Month/Day/Year) or (D)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)		
Non Qualified Stock Option	\$9.43	06/17/2013		A		0 <sup>(11)</sup>		(11)	(11)	Common Stock	0	\$0	98,236 <sup>(11)</sup>	D	
Non Qualified Stock Option	\$10.48	06/17/2013		A		0 <sup>(12)</sup>		(12)	(12)	Common Stock	0	\$0	98,236 <sup>(12)</sup>	D	

## Explanation of Responses:

1. Granted 3/1/2010 under the Company's Amended and Restated 2000 Stock Option and Incentive Plan (the "Plan"), vesting over a five year period.

2. Granted 6/24/2010 under the Plan, vesting over a two year period.

3. Granted 6/23/2011 under the Plan, vesting over a two year period.

4. Granted 6/21/2012 under the Plan, vesting over a two year period.

5. Granted 8/27/2010 under the Plan, vesting when certain defined performance milestones for the Company's Brick Business Unit are achieved.

6. The replacement options vest over a five year period in equal installments.

7. Pursuant to the Exchange Offer, Replacement Options granted on 6/17/2013 in exchange for certain options with vesting tied to the performance of the Brick Business Unit were awarded with five escalating exercise prices, as shown above in Table II, each with a separate vesting schedule: those with an exercise price of \$6.29 fully vest on the first anniversary of grant, those with an exercise price of \$7.34 fully vest on the second anniversary, those with an exercise price of \$8.38 fully vest on the third anniversary, those with an exercise price of \$9.43 fully vest on the fourth anniversary, and those with an exercise price of \$10.48 fully vest on the fifth anniversary.

8. The reporting person is filing this amendment to report the correct amount of options acquired on June 17, 2013. The Form 4/A filed on October 20, 2014, amended the original Form 4 of June 19, 2013, which reflected the acquisition, pursuant to Exchange Offer and in accord with the Plan, of options to purchase 20,000 shares at a price of \$7.34, 20,000 shares at a price of \$8.38, 20,000 shares at a price of \$9.43, and 20,000 shares at a price of \$10.48. As disclosed on the Form 4/A filed on October 20, 2014 the Company determined, subsequent to the grants associated with the Exchange Offer, an aggregate amount of options to purchase 69,514 shares was not validly granted during 2013 pursuant to the Plan, because the amount exceeded the Plan's limit on the number of options that may be granted to any individual within any calendar year. Accordingly, the attempted grant of these excess options was ineffective, and the options were never granted to the reporting person.

9. The Form 4/A filed on October 20, 2014 amended the original Form 4 of June 25, 2013, indicating that options to purchase 695 shares at a strike price of \$7.34 were not validly granted. However, the Company subsequently determined options to purchase 2,459 shares at a price of \$7.34 were not validly granted. As such, the revised 17,541 figure shown above in Table II reflects the correct amount of options validly granted under the provisions of the Plan

10. The Form 4/A filed on October 20, 2014 amended the original Form 4 of June 25, 2013, indicating that the originally reported grant of 20,000 options to purchase shares at a strike price of \$8.38 was not validly granted under the provisions of the Plan.

11. The Form 4/A filed on October 20, 2014 amended the original Form 4 of June 25, 2013, indicating that the originally reported grant of 20,000 options to purchase shares at a strike price of \$9.43 was not validly granted under the provisions of the Plan

12. The Form 4/A filed on October 20, 2014 amended the original Form 4 of June 25, 2013, indicating that the originally reported grant of 20,000 options to purchase shares at a strike price of \$10.48 was not validly granted under the provisions of the Plan.

/s/Kemble D. Morrison, Attorney in fact for Barry Kelleher

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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