FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## OMB Number: STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>SIMMS JAMES A</u>						2. Issuer Name and Ticker or Trading Symbol VICOR CORP [ vicr ]									ationship k all appli Directo	cable)	g Per	son(s) to Iss		
(Last) 25 FROM	(Fi		(Middle)			3. Date of Earliest Transaction (Month/Day/Year) 02/11/2021								X	Officer below)	er (give title		Other (specify below)		
(Street) ANDOV (City)			01810 (Zip)		- 4. I	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Indir Line) X	Form f	ual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
Date			2. Transa Date (Month/D	/Day/Year)   E		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disp Code (Instr.			Securities Acquired (A) o posed Of (D) (Instr. 3, 4 a		nd 5) Securiti Benefic		ies For ially (D) Following (I) (I		n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
									Code	v	Amount	(A) or (D)	Price		Transac (Instr. 3	ction(s)			(111501.4)	
Common Stock 02/1				02/11/	2021	2021					2,000	A	\$6	5.29	15,250			D		
Common	ommon Stock 02/11/2021			21		S <sup>(1)</sup>		2,000	D	\$98	.6929	13	3,250		D					
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	e (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		4. Transaction Code (Instr. 8)		on of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		rity	Price of erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title	or Numi of Share	ber						
Non Qualified Stock	\$6.29	02/11/2021			M			2,000	(2)		06/17/2023	Common Stock	2,00	00	\$0	32,498	3	D		

## **Explanation of Responses:**

- 1. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 26, 2020.
- 2. This stock option is exercisable in full.

/s/Richard J. Nagel Jr. Attorney 02/12/2021 in fact for James A. Simms

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.