SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to)
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person [*] ZENGILOWSKI RICHARD E			2. Issuer Name and Ticker or Trading Symbol VICOR CORP [vicr]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
ZENGLOWSKI KICHARD E					Director	10% Owner			
(Last) (First) (Middle) C/O VICOR CORP		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/30/2014	Х	Officer (give title below) VP Human Reso	Other (specify below) urces			
25 FRONTAGE ROAD			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street) ANDOVER	МА	01810	4. In Ameriament, Date of Original Filed (Month/Day/Teal)	Line)	Form filed by One Report Form filed by More than C Person	ing Person			
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	10/30/2014		М		10,000	A	\$6.29	10,560	D			
Common Stock	10/30/2014		М		3,000	A	\$5.35	13,560	D			
Common Stock	10/30/2014		М		2,000	A	\$6.29	15,560	D			
Common Stock	10/30/2014		S		10,000	D	\$13.062	5,560	D			
Common Stock	10/30/2014		S		3,000	D	\$13.062	2,560	D			
Common Stock	10/30/2014		S		2,000	D	\$13.062	560	D			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deri Sec Acq (A) (Disp of (I	umber vative urities uired or oosed D) (Instr. and 5)	6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non Qualified Stock Option	\$6.29	10/30/2014		М			10,000	06/17/2014	06/17/2023	Common Stock	10,000	\$0	65,000	D	
Non Qualified Stock Option	\$5.35	10/30/2014		М			3,000	(1)	05/14/2023	Common Stock	3,000	\$0	62,000	D	
Non Qualified Stock Option	\$6.29	10/30/2014		М			2,000	(1)	06/17/2023	Common Stock	2,000	\$0	60,000	D	

Explanation of Responses:

1. Granted under the Company's Amended and Restated 2000 Stock Option and Incentive Plan and vest over a five year period.

/s/Richard J. Nagel Jr. Attorney in Fact for Richard E. 11/03/2014 Zengilowski

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.