FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, | D.C. | 20549 |
|-------------|------|-------|
|-------------|------|-------|

| Check this box if no longer subject | STATEMENT OF CHANGES              |
|-------------------------------------|-----------------------------------|
| to Section 16. Form 4 or Form 5     |                                   |
| obligations may continue. See       |                                   |
| Instruction 1(b).                   | Filed pursuant to Section 16(a) ( |

## S IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>EICHTEN ESTIA J</u> |  |  |              |                              |   | 2. Issuer Name and Ticker or Trading Symbol VICOR CORP [ vicr ]  |  |   |  |   |                                       |  |                                       |                       | ck all app  | ationship of Reporti<br>k all applicable)<br>Director  |                    | rson(s) to Is  |  |
|---|--|--|--------------|------------------------------|---|--|--|---|--|---|---------------------------------------|--|---------------------------------------|-----------------------|---|--|--------------------|--|--|
| (Last) 25 FROI  | (Fii<br>NTAGE RO   | ,  | Middle)      |                              | 3. Date of Earliest Transaction (Month/Day/Year) 07/14/2021 |  |  |   |  |   |                                       |  |                                       | Office<br>belov       | icer (give title<br>ow)   |  | Other (:<br>below) | specify  |  |
| (Street) ANDOV (City)   |  | ate) (Z                                    | 1810<br>Zip) | n-Deriva                     |   | 4. If Amendment, Date of Original Filed (Month/Day/Year)  ive Securities Acquired, Disposed of, or Benef |  |   |  |   |                                       |  | Line)                                 | Form<br>Form<br>Perso | dual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |  |                    |  |  |
| 1. Title of Security (Instr. 3)  2. Trans Date                  |  |  |              | 2. Transac                   | ction 2A. Deemed Execution Date,                            |  |  | 3. 4. Securities Acquired (Disposed Of (D) (Instr. 5) |  |   | A) or 5. Am<br>, 4 and Secur<br>Benef |  | unt of<br>ties<br>cially<br>Following | Forn<br>(D) c         | m: Direct<br>or Indirect<br>nstr. 4)  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership  |                    |  |  |
|   |  |  |              | 1                            |   |  |  |   | Code   | v | Amount                                | (A)<br>(D)   | _                                     | rice                  | Transa<br>(Instr. 3   | ction(s)<br>3 and 4)   |                    |  | (Instr. 4)   |
| Common  | Common Stock 07/14/2021 S <sup>(1)</sup> 4,900 D \$109 224,329 D  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |              |                              |   |  |  |   |  |   |                                       |  |                                       |                       |   |  |                    |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)             | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | if any       | med<br>on Date,<br>Day/Year) | 4.<br>Transa<br>Code (<br>8)                                | (Instr.  | Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |   | 6. Date Exercisable and Expiration Date (Month/Day/Year)  Date Expiration Date Expiration Date |   |                                       | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Ins<br>3 and 4) |                                       | str.                  | Price of<br>erivative<br>ecurity<br>nstr. 5)  | 9. Number<br>derivative<br>Securities<br>Beneficiall<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | у                  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |

## **Explanation of Responses:**

1. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 2, 2020.

/s/Richard J. Nagel Jr. Attorney in fact for Estia J. **Eichten** 

07/16/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.