| SEC Form 4 | |
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| | Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| | JVAL |
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| <u>.</u> | |

| 1. Name and Address of Reporting Person [*] <u>Gusinov Alex</u> | | | 2. Issuer Name and Ticker or Trading Symbol <u>VICOR CORP</u> [VICR] | | Relationship of Reporting Person(s) to Issue teck all applicable) Director 10% Own | | |
|--|--|----------------------|--|--|--|-------------------------------------|--|
| | | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) 08/03/2018 | X | Officer (give title below) Corp. VP Engir | Other (specify below) neering | |
| (Street) ANDOVER MA 01810 | | 01810 (Zip) | 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Individual or Joint/Group Filing (Check A Line) X Form filed by One Reporting Pers Form filed by More than One Rep Person | | | |
| | | Table I - Non-Deriva | tive Securities Acquired, Disposed of, or Benefi | cially (| Owned | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| | • | ' | • | , | , | | | | | |
|---------------------------------|--|---|------------------------------|---|--|---------------|---------------------|---|---|---|
| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | Code | v | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | (1150. 4) |
| Common Stock | 08/03/2018 | | М | | 43 | A | \$11.2534 | 1,064 | D | |
| Common Stock | 08/03/2018 | | S | | 23 | D | \$ <mark>6</mark> 1 | 1,041 | D | |
| Common Stock | 08/06/2018 | | М | | 5,003 | A | \$11.2534 | 6,044 | D | |
| Common Stock | 08/06/2018 | | S | | 2,700 | D | \$61.0204 | 3,344 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of Deri Sec Acq (A) Disp of (I | oosed D) tr. 3, 4 | Expiration Date (Month/Day/Year) | | Expiration Date | | Expiration Date | | ation Date Amount of | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|---|--|---|------------------------------|---|--|-------------------------|-------------------------------------|--------------------|-----------------|--|-----------------|--------|----------------------|--|---|--|--|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | | | | | |
| Non Qualified Stock Option | \$11.2534 | 08/03/2018 | | М | | | 43 | (1) | 11/01/2020 | Common Stock | 43 | \$0.00 | 22,587 | D | | | | | |
| Non Qualified Stock Option | \$11.2534 | 08/06/2018 | | М | | | 5,003 | (1) | 11/01/2020 | Common Stock | 5,003 | \$0.00 | 17,584 | D | | | | | |

Explanation of Responses:

1. This stock option is exercisable in full.

Remarks:

/s/Richard J. Nagel Jr. Attorney 08/07/2018

in fact for Alex Gusinov

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.