# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K
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CURRENT REPORT
Pursuant to Section 13 or 15(d)
of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 6, 2021

# **VICOR CORPORATION**

(Exact Name of Registrant as Specified in its Charter)

Delaware (State or Other Jurisdiction of Incorporation) 0-18277 (Commission File Number) 04-2742817 (IRS Employer Identification No.)

25 Frontage Road, Andover, Massachusetts 01810 (Address of Principal Executive Offices) (Zip Code)

 $\begin{tabular}{ll} (978)\ 470-2900 \\ (Registrant's\ telephone\ number,\ including\ area\ code) \\ \end{tabular}$ 

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	appropriate box below if the Form 8-K filing is in provisions:	ntended to simultaneously satisfy the fi	ling obligation of the registrant under any of the	
	Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)			
	Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)			
	Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))			
	Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))			
Securities	registered pursuant to Section 12(b) of the Act:			
	Title of each class	Trading Symbol(s)	Name of each exchange on which registered	
	Common Stock, par value	VICR	The NASDAQ Stock Market LLC	
	\$0.01 per share			
-	-	ng growth company as defined in Rule 4	405 of the Securities Act of 1933 or Rule 12b-2 of the	
-	$\gamma$ check mark whether the registrant is an emergin	ng growth company as defined in Rule	405 of the Securities Act of 1933 or Rule 12b-2 of the  Emerging growth company □	

# Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On April 6, 2021, the Company announced the appointment of James F. Schmidt, age 60, as Corporate Vice President, Chief Financial Officer, Treasurer, and Corporate Secretary, effective June 1, 2021. He also will be appointed to the Company's Board of Directors, for a term beginning June 25, 2021. During his 35 year career with Analog Devices Inc., he served in a range of financial, operational, and engineering roles. From 2017 to 2020, Mr. Schmidt was Vice President, Global Broad Market & Sales Operations, and from 2013 to 2017, he was Senior Director, Global Business Controller. Mr. Schmidt has a B.S. in Chemical Engineering from the University of Cincinnati and an M.B.A. from the University of North Carolina at Greensboro.

The company's press release announcing Mr. Schmidt's appointment is filed as Exhibit 99.1 to this Current Report on Form 8-K.

### Item 9.01. Financial Statements and Exhibits

(a) Financial statements:

None

(b) Pro forma financial information:

None

(c) Shell company transactions:

None

(d) Exhibits

99.1 Press Release of Vicor Corporation dated April 6, 2021

#### **Exhibit Index**

Exhibit No.	Description
99.1	Press Release of Vicor Corporation dated April 6, 2021
104	Cover Page Interactive Data File (embedded within the Inline XBRL document)

# SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# VICOR CORPORATION

Date: April 6, 2021

By: <u>/s/ James A. Simms</u>

James A. Simms Chief Financial Officer



## **Vicor Appoints Chief Financial Officer**

April 6, 2021

ANDOVER, Mass., April 06, 2021 (GLOBE NEWSWIRE) — Vicor Corporation (NASDAQ: VICR) today announced the appointment of James F. Schmidt as Chief Financial Officer, effective June 1, 2021. Mr. Schmidt will join the Vicor Board of Directors and serve as the company's Treasurer and Secretary.

Mr. Schmidt joins Vicor from Analog Devices after a 35-year career which started in a wafer fab and included leadership positions in Finance, Engineering, Operations and Sales. Mr. Schmidt has a BS in Chemical Engineering from the University of Cincinnati and an MBA from the University of North Carolina at Greensboro.

Vicor's CEO Patrizio Vinciarelli commented, "We are pleased to have Jim join our team ahead of further expansions in our operational footprint. Jim's broad-based background and experience make him uniquely qualified to help us achieve operational excellence, as well as gross margin and profitability levels that more fully reflect the value of our enabling power system technology."

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## **About Vicor Corporation**

Vicor Corporation, the leader in high-performance power modules, solves the toughest power challenges for our customers, enabling them to innovate and maximize system performance. Our easy-to-deploy power modules provide the highest density and efficiency enabling advanced power delivery networks from the power source to the point of load. Headquartered in Andover, Massachusetts, Vicor serves customers worldwide with unequaled power conversion and power delivery technologies. <a href="https://www.vicorpower.com">www.vicorpower.com</a>

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Source: Vicor Corporation