SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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)	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol VICOR CORP [vicr]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
VINCIARELLI PATRIZIO		<u>J</u>	[]	X	Director	Х	10% Owner		
(Last)	(Last) (First) (Middle)		3. Date of Earliest Transaction (Month/Day/Year)	x	Officer (give title below)		Other (specify below)		
C/O VICOR CORPORATION			11/11/2021		Chairman and CEO				
25 FRONTAGE ROAD									
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group	Filing	(Check Applicable		
ANDOVER	МА	01810		X	Form filed by One	Repor	rting Person		
					Form filed by More Person	than	One Reporting		
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction I Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
		Code V Amount		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(1150.4)	(1130.4)		
Common Stock	11/11/2021		s		15,000	D	\$157.4521	171,125	Ι	See footnote ⁽¹⁾	
Common Stock	11/11/2021		S		11,620	D	\$161.2836	9,455,328	D		
Common Stock	11/12/2021		S		9,635	D	\$162.0044	9,445,693	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Expirati Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Date		Expiration Da	6. Date Exercisable and Expiration Date (Month/Day/Year)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. These shares are held by the Reporting Person as Trustee of the Patrizio Vinciarelli Irrevocable Trust U/A Dated 12/21/2012, established for the benefit of the child of the Reporting Person. 83,413 shares purchased in 2014 under the Patrizio Vinciarelli Irrevocable Trust U/A Dated 12/21/2012, had been reported under the total amount of shares directly owned. Those 83,413 shares are now reported under the total amount of shares indirectly owned.

/s/Richard J. Nagel Jr. Attorney in fact for Patrizio

<u>11/15/202</u>1

Vinciarelli Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.