SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-028							
Estimated average burden							
hours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* EICHTEN ESTIA J					2. Issuer Name and Ticker or Trading Symbol <u>VICOR CORP</u> [vicr]							5. Relationship of Reporting (Check all applicable) X Director			Person(s) to Issuer	
(Last)	(Fir NTAGE RO	st) (I	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 11/20/2020								er (give title v)	Other (specify below)		
(Street) ANDOVER MA 01810						ment, Date of	l (Month/Day	Lin	e) X Form Form	vidual or Joint/Group Filing (Check Form filed by One Reporting Pe Form filed by More than One Re Person			rson			
(City)	(St		Zip)				<u> </u>	<u>.</u>					<u> </u>			
Table I - Non-Deriva 1. Title of Security (Instr. 3) 2. Transac Date (Month/Data)				ction 2A. Deemed Execution Date,			ction	4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			5. Amo Securi Benefi Owned	ount of ties cially I Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount	(A) or Transaction(s)					(Instr. 4)	
Common	Stock		11/2	0/2020	2020				5,000	D	\$ <mark>8</mark> 1	. 25	259,329			
		Ta	ble II - Deriv (e.g.,			ties Acqui varrants,						-	d			
1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date if any	Code (I	Transaction of I			6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities			of s	8. Price of Derivative Security	9. Number derivative Securities	Ov Fo	vnership rm:	11. Nature of Indirec Beneficia

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transa Code (8)	Instr.			(Month/Day/Y	Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 2, 2020.

<u>/s/Richard J. Nagel Jr.</u>
Attorney in fact for Estia J.

11/24/2020

Eichten ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.