SEC Form 4	
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Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Tuozzolo Claudio			2. Issuer Name and Ticker or Trading Symbol <u>VICOR CORP</u> [vicr]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner		
(Last) 25 FRONTAG	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 06/05/2020		Officer (give title below) Corp. Vice Pr	Other (specify below)	
(Street) ANDOVER	МА	01810	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Ind Line) X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting		
(City)	(State)	(Zip)	perivative Securities Acquired, Disposed of, or Ber		Person	an One Reporting	

1. Title of Security (Instr. 3) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 7. Nature 2. Transaction 2A. Deemed 5. Amount of 6. Ownership 3 Securities Beneficially Form: Direct (D) or Indirect (I) (Instr. 4) of Indirect Beneficial Execution Date Transaction (Month/Day/Year) if any Code (Instr. 8) (Month/Dav/Year) **Owned Following** Ownership (Instr. 4) Reported (A) or (D) Transaction(s) Code v Price Amount (Instr. 3 and 4) Common Stock 06/05/2020 S 44,919 D 320 D \$69.9788 Common Stock 06/05/2020 Μ 5,000 A \$8.062 49,919 D Common Stock⁽¹⁾ 06/05/2020 s 2.593 47,326 D D \$69.3254

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 3. Transaction Date 1. Title of 3A. Deemed Execution Date if any 6. Date Exercisable and Expiration Date 7. Title and Amount of 8. Price of Derivative 9. Number of 11. Nature of Indirect 5. Number 10 Conversion Transaction Code (Instr. Ownership Derivative derivative (Month/Day/Year) Derivative (Month/Day/Year) Securities Security or Exercise Security Securities Form: Beneficial Securities Acquired (A) or Disposed Ownership (Instr. 4) (Instr. 3) Price of Derivative (Month/Day/Year) 8) Underlying Derivative S (Instr. 5) Beneficially Owned Direct (D) or Indirect curity Security (Instr. 3 and 4) Following (I) (Instr. 4) Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5) Amount Number Expiration Date ν (D) Date Title Shares Code (A) Exercisable Non Qualified \$8.062 06/05/2020 5,000 (2) 04/14/2024 5.000 15,327 D Μ \$<mark>0</mark> Stock Stock Option

Explanation of Responses:

1. This transaction involved the exercise of non-qualified stock options, the terms of which provided for the purchase of shares of common stock possessing multi-year restrictions on their sale or transfer. As such, the exercise followed the sell-to-cover process, whereby a portion of the shares acquired through the exercise were sold in the open market, with the proceeds used to fund the aggregate exercise price of the options and the income and employment taxes associated with the exercise. The balance of the shares acquired through exercise that were delivered to the reporting person are subject to holding periods through the fifth anniversary of the date of this transaction.

2. This stock option is exercisable in full.

06/09/2020

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

/s/Richard J. Nagel Jr. Attorney in fact for Claudio Tuozzolo

Date